7 7

PTO/SB/21 (04-07)

T-840 P.001/006 F-835

Approved for use through 09/30/2007, OMB 0651-0031 and Trademark Office; U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM Filing Date Applied Inventor Art Unit Issa Examiner Name Dev. Sarvamangate JN JUL 2 Examiner Name Postition Appeal Communication Appeal Communication Appeal Communication to To	Jacoba Bananard F	work Reduction Act of 1995, RQU	persons are required to respond to a collect	ction of Inform	ation unless it disp	lavs a valid OMB control	number.
FIRST Named Inventor GRANOFF, Dan M. et al. CENTRACY Art Unit 1949 Examiner Name DeVI, Sarvemengale JN JUL 2 Attorney Docket Number of Pages in This Submission 6 Attorney Docket Number ppoorts28.102 Fee Transmittal Form Drawing(s) After Pages and Interferences Appeal Communication to Board of Appeal Appeals and Interferences Appeal Communication to Board of Appeal Modern Pages and Interferences Appeal Communication to Tic. Appeal After Final Previous Application Previous Appeal Modern Proprietary Information Aftidavita/declaration(s) Petition Convert to a Provisional Application Previous of Attorney, Revocation Previous of Attorney Revocation Previous of Attorney, Revocation Previous of Attorney of Carrespondence Address Express Abandonment Request Request for Refund CD, Number of CD(s) Information Disclosure Statement Request Feeting Parts Incomplete Application Previous of Attorney of CD(s) Incomplete Application Previous of Attorney of Proprietary Information Disclosure Statement Regulation Previous of Attorney of Proprietary Information Disclosure Statement Regulation Previous of Remarks Regulation Previous Application Previous Ap	ner me Palasi work		Application Number	09/701,453			
FIRST Named Inventor GRANOFF, Dan N. et al. Art Unit 1843 Examiner Name 1949 Total Number of Pages In This Submission 6 ENCLOSURES (Check all that apply) ENCLOSURES (Check all that apply) Fee Transmittal Form Drawing(s) After Allowance Communication to Board of Appeals and Interferences Amendment/Reply Dettion Drawing(s) Appeal Communication to TC (Appeal Notice, Briof, Reply Brief) Postional Application Drawing Affidavits/declaration(s) Proprietary Information Disclosure Statement Decument(s) Extension of Time Request Express Abandonment Request Decument(s) Certified Copy of Priority Document(s) Reply to Missing Parts Decument(s) Reply to Missing Parts Decument(s) SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name NOVARTIS VACCINES AND DIAGNOSTICS, INC. Signature Printed name Amy L. Heasies Date TABLA States Postal Special States Postal Service to Communication to TC (Appeal Nova of Appeal Communication to TC (Appeal Nova of Appeal Communication to TC (Appeal Nova of Appeal Communication to TC (Appeal Notice, Briof, Reply Brief) Proprietary Information Disclosure Statement Declaration De	TRANS	NSMITTAL		April 16, 2001 RECEIVED			
At Unit 1645			First Named Inventor	GRANOFF, Dan M. et al. CENTRACTAX CEN			
Total Number of Pages in This Submission 6 Total Number of Pages in This Submission 6 Attorney Docket Number Pp001528.102		1 Orani	Art Unit	1645			
Total Number of Pages in This Submission E				DEVI, Sarvar	mangala JN	JUL Z	4 2007
Fee Transmittal Form) <u>.</u>	I WITHING DOCKET MATTINET	PP001528.10	02	·	ノ
Fee Transmittal Form Drawing(s)	Number of Pages I	ages In This Submission 6					
Fee Attached Fee Attached Licensing-related Papers Licensing-related Papers Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Patition Position Position After Final Position After Final Position Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Extension of Time Request Express Abandonment Request CD, Number of CD(s) Landscape Table on CD Certified Copy of Priority Document(s) Reply to Missing Parts Incompleta Application Power of Attorney, CR AGENT SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name NOVARTIS VACCINES AND DIAGNOSTICS, INC. Signature Printed name Amy L. Heaster CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Servi sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandría, VA 22313-1 Appeal Communication to Board of Appeals and Interferences Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Appeal Notice, Brief, Reply Brief) Provisional Application Provisional Application Change of Correspondence Address Terminal Disclaimer Request for Refund Change of Correspondence Address Terminal Disclaimer Request for Refund Change of Correspondence Certified Copy of Priority Document(s) Reply to Missing Parts Under 37 CFR 1.52 or 1.53 CERTIFICATE OF TRANSMISSION/MAILING			ENCLOSURES (Check all t	that apply)		O unicotio	TC
Firm Name NOVARTIS VACCINES AND DIAGNOSTICS, INC. Signature Printed name Army L. Hessler Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Servi sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1 the date shown below:	Amendment/Rep After Fin Aftidavits Extension of Tin Express Abando Information Disc Certified Copy of Document(s) Reply to Missin Incomplete App	Attached at/Reply ar Final davits/declaration(s) of Time Request condonment Request n Disclosure Statement copy of Priority (s) in Application endy to Missing Parts	Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence A Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on CD	ddress	Appeal C of Appeal Appeal N Appeal N Propriete Status L Other Er	communication to Boa ils and Interferences Communication to TC lotice, Brief, Reply Brie ary Information	ird f)
Signature Printed name Amy L. Hessler Date Reg. No. 50,310 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Servi sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1 the date shown below:		SIGNAT	TURE OF APPLICANT, ATTO	RNEY, O	R AGENT		
Printed name Amy L. Hessler Date Reg. No. 50,310 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Servi sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1 the date shown below:	Name	NOVARTIS VACCINES AN	ND DIAGNOSTICS, INC.			•	
Date Reg. No. 50,310 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Servi sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1 the date shown below:		1. 111-					
CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Servi sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1 the date shown below:	ed name	Amy I Hessler					
CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Servi sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1 the date shown below:				Reg. No.	50,310		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Servi sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1 the date shown below:		7/24/07					
sufficient postage as first class mail in an envelope addressed to. Commissioner to the date shown below:							
Total 1 / 11 / W*//	cient postage as f date sh <u>own below</u>	a as first class mail in an en	peing facsimile transmitted to the USP evelope addressed to: Commissioner f	TO or deport for Patents,	sited with the Uni P.O. Box 1450, A	ited States Postal Ser Alexandria, VA 22313	vice with 1450 on
Signature	Shure.		Mores				
Typed or printed name MARY ANN FLORES Date Quely 24, s		MARY ANN EL	ORES		Date	Outer 25	2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the inclindual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PATENT APPLICATION Docket No. PP01528.102

CERTIFICATE OF MAILING PURSUANT TO 37 CFR § 1.8

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450,

Alexandria, VA 22313-1450 on the date below.

Mary Ann Plore

RECEIVED CENTRACFAX CENTER

JUL 2 4 2007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Dan M. Granoff et al.

Serial No.:

09/701,453

Art Unit: 1645

Filing Date:

April 16, 2001

Examiner: DEVI, Sarvamangala J N

Confirmation No.: 1041

Title:

COMBINED MENINGITIDIS B/C VACCINES

Response to Notice of Non-Compliant Amendment

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This Amendment under 37 C.F.R. §1.111 is being filed in response to the Notice of Non-Compliant Amendment mailed from the U.S. Patent and Trademark Office on June 25, 2007.

Amendments to the claims begin on page 2 of this paper.

The Remarks begin on page 4 of this paper.